



05/14/01

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Docket No. (C06123/119964)

J1033 U.S. PTO  
09/854638

05/14/01

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of :

John DAPRON, et al.

Serial No.: Not Yet Known

Filed: Herewith

For: **HIGH CAPACITY ASSAY  
PLATFORMS**

Examiner: unassigned

Art Unit: unassigned

New York, NY

May 14, 2001

Box Patent Application

Commissioner of Patents and Trademarks

Washington, D.C. 20231

Sir:

Transmitted herewith for filing is the utility patent application of Inventors:

John Dapron, William Karl Kappel and Handong Li

For: **HIGH CAPACITY ASSAY PLATFORMS**

1. ☒ The application has 63 pages (including 47 pp. of specification, 14 pp. of claim pages, and 1 p. of abstract).
2. ☒ The declaration and power of attorney
  - a. ☐ has been executed by all the inventors; or
  - b. ☒ has not been executed by all the inventors. A signed declaration and power of attorney will be submitted in due course.
3. ☒ 1 sheet of formal drawings (Figure 1) is enclosed.

FOR FILING

4. ☐ A Sequence Listing is part of this application.
- a. ☐ A Sequence Listing in both paper and computer readable form (diskette) as required by 37 CFR 1.821 et seq. is enclosed.
- b. ☐ Upon information and belief, the content of the paper and computer readable Sequence Listing are the same. See, 37 CFR §§1.821(f).
5. ☐ An associate power of attorney is enclosed.
6. ☐ An assignment of the invention from the Inventor(s) to Sigma-Aldrich Co. (with Recordation Form Cover Sheet in duplicate) is enclosed. Please record the Assignment and return it to the undersigned.
- a. ☐ A check in the amount of \$40 is enclosed to cover the recording fee. See paragraph 10.c., below.
- b. ☐ Please charge the recording fee to our Deposit Account No. 02-4467. A duplicate copy of this paper is enclosed.
7. ☐ Priority is hereby claimed under 35 USC §119 based on Appln. No. \_\_\_, filed \_\_\_ in \_\_\_.
- a. ☐ A certified copy of the priority document is enclosed.
- b. ☐ The certified priority document will follow.
8. ☐ Priority is hereby claimed under 35 USC §119(e) based on provisional application Serial No. \_\_\_, filed \_\_\_, in \_\_\_. Please amend the specification by inserting, before the first line, the following sentence: -- This application claims priority under 35 U.S.C. § 119(e) of provisional application Serial No \_\_\_, filed \_\_\_. --
9. ☒ The filing fee is calculated below on the basis of the claims existing in the application plus or minus any claims added or canceled by amendment:

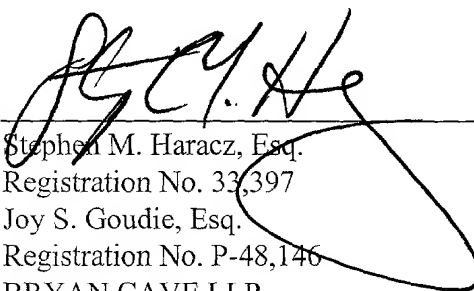
Claims as Filed, Plus/Minus Any Claims Added/Canceled By Amendment

For	Number Filed	Number Extra	Rate	Basic Fee = \$	710.00
Total claims	112 - 20=	92	x \$18 =	\$	1,656.00
Independent claims	3 - 3=	0	x \$80 =	\$	0.00
Total Filing Fees				\$	2,366.00

FILED 02-04-03

- a. ☒ A check in the amount of \$2,366.00 to cover the filing fee is enclosed. If our check is missing or otherwise insufficient, or if any additional fees are required, please charge (or credit any overpayment) to Deposit Account No. 02-4467. A duplicate copy of this paper is enclosed.
- b. ☐ Please charge Deposit Account No. 02-4467 in the amount of \$ \_\_\_\_\_. A duplicate copy of this paper is enclosed.
10. ☒ The Commissioner is hereby authorized to charge payment of the following fees, or to credit any overpayment, to Deposit Account No. 02-4467. A duplicate copy of this paper is enclosed.
- a. ☒ Any additional filing fees required under 37 CFR Section 1.16 not otherwise paid by check.
- b. ☒ Any patent application processing fees required under 37 CFR Section 1.17 not otherwise paid by check.
- c. ☒ Any additional Assignment recording fees under 37 CFR Section 1.21 (h) not otherwise paid by check.
11. ☐ A preliminary amendment is enclosed. Please enter it before calculating the filing fee.
12. ☐ An Information Disclosure Statement is enclosed.
13. ☒ Address all communications to:
- Stephen M. Haracz, Esq.  
Bryan Cave, LLP  
245 Park Avenue  
New York, NY 10167-0034  
tel. (212) 692-1800

Respectfully submitted,

By:   
Stephen M. Haracz, Esq.  
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John DAPRON, et al. )  
Serial No.: Not Yet Known ) Examiner: Unassigned  
Filing Date: Herewith ) Art Unit: Unassigned  
For: **HIGH CAPACITY ASSAY** )  
**PLATFORMS** )

CERTIFICATE OF EXPRESS MAILING

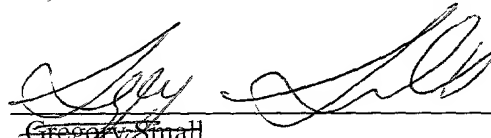
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Date of Deposit: May 14, 2001

I hereby certify that the following:

- ☒ Certificate of Express Mailing (1 page);
- ☒ Transmittal letter (3 pages) in duplicate;
- ☒ Specification (47 pages), 112 Claims (14 pages), Abstract (1page);
- ☒ Formal Drawing (1page);
- ☒ Non Publication Request (1page);
- ☒ Check for \$2,366.00
- ☒ Return postcard

are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR Section 1.10 on the Date of Deposit indicated above in an envelope addressed to Box: Patent Application, Commissioner of Patents and Trademarks, Washington, D.C. 20231.



Gregory Small

Signature of Person Making Deposit

BRYAN CAVE LLP  
245 Park Avenue  
New York, New York 10167-0034  
212/692-1800

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number

<b>NONPUBLICATION REQUEST UNDER 35 U.S.C. 122(b)(2)(B)(i)</b>	First Named Inventor		John DAPRON, et al.
	Title	HIGH CAPACITY ASSAY PLATFORMS	
	Atty Docket Number		C06123/119964

I hereby certify that the invention disclosed in the attached application **has not and will not be** the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing.

I hereby request that the attached application not be published under 35 U.S.C. 122(b).

May 14, 2001

Date



Signature

Stephen M. Haracz (Reg. No. 33,397)

Typed or printed name

This request must be signed in compliance with 37 CFR 1.33(b) and submitted with the application **upon filing**.

Applicant may rescind this nonpublication request at any time. If applicant rescinds a request that an application not be published under 35 U.S.C. 122(b), the application will be scheduled for publication at eighteen months from the earliest claimed filing date for which a benefit is claimed.

If applicant subsequently files an application directed to the invention disclosed in the attached application in another country, or under a multilateral international agreement, that requires publication of applications eighteen months after filing, the applicant **must** notify the United States Patent and Trademark Office of such filing within forty-five (45) days after the date of the filing of such foreign or international application. **Failure to do so will result in abandonment of this application (35 U.S.C. 122(b)(2)(B)(iii)).**

**Burden Hour Statement** This collection of information is required by 37 CFR 1.213(a). The information is used by the public to request that an application not be published under 35 U.S.C. 122(b) (and the PTO to process that request). Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This form is estimated to take 6 minutes to complete. This time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231